FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

|      | TRA   | ANSMITTA  | AL LETTER 1   | TO THE UNITE                  | STATES                     | ATTORNEY'S DOCKET NUMBER                   |  |  |  |  |  |  |  |  |
|------|---|---|---|-------------------------------|----------------------------|--|--|--|--|--|--|--|--|--|
|      |   |   |   | D OFFICE (DO                  | ,                          | 065691-0397                                |  |  |  |  |  |  |  |  |
|      | С   | ONCERNI   | NG A FILING   | S UNDER 35 U.                 | S.C. 371                   | Haseignet                                  |  |  |  |  |  |  |  |  |
|      | PCT/FR2   | ONAL APPLIC<br>2004/000085  | ATION NO.   | INTERNATIONAL F<br>01/16/2004 | ILING DATE                 | PRIORITY DATE CLAIMED 01/17/2003           |  |  |  |  |  |  |  |  |
|      | TITLE OF INVENTION COMPOSITION FOR ORAL ADMINISTRATION CONTAINING CAPSAICINOIDS |   |   |                               |                            |  |  |  |  |  |  |  |  |  |
| API  | APPLICANT(S) FOR DO/EO/US  Bernadette VERNEAU                                   |   |   |                               |                            |  |  |  |  |  |  |  |  |  |
| Apr  | licant he   | rewith submits  | s to the United Sta   | ates Designated/Elec          | ted Office (DO/EO/US)      | the following items and other information: |  |  |  |  |  |  |  |  |
| 1.   | $\boxtimes$   |   |   |                               | filing under 35 U.S.C. 3   | •  |  |  |  |  |  |  |  |  |
| 2.   |   | This is a <b>SE</b>   | COND or SUBSE   | QUENT submission              | of items concerning a fi   | iling under 35 U.S.C. 371.                 |  |  |  |  |  |  |  |  |
| 3.   | Ö   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.   |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 4.   | $\boxtimes$   | The US has  | been elected (Art   | ticle 31).                    |                            |  |  |  |  |  |  |  |  |  |
| 5.   | $\boxtimes$   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |                               |                            |  |  |  |  |  |  |  |  |  |
|      |   | ☐ is atta   | ached hereto (req   | uired only if not comr        | municated by the Interna   | ational Bureau).                           |  |  |  |  |  |  |  |  |
|      |   |   | een communicate   | ed by the Internationa        | al Bureau.                 |  |  |  |  |  |  |  |  |  |
|      |   | ☐ is not  | required, as the  | application was filed i       | in the United States Red   | ceiving Office (RO/US)                     |  |  |  |  |  |  |  |  |
| 6.   | ⊠   | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  ☑ is attached hereto. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).  |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 7.   |   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are attached hereto (required only if not transmitted by the International Bureau).  have been communicated by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.  have not been made and will not be made. |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 8.   | $\boxtimes$   | An English la   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). |                               |                            |  |  |  |  |  |  |  |  |  |
| 9.   |   | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 10.  |   | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  |   |                               |                            |  |  |  |  |  |  |  |  |  |
| iter | ns 11 to  | 20 below con  | cern other docu   | ment(s) or informat           | ion included:              |  |  |  |  |  |  |  |  |  |
| 11.  | $\boxtimes$   | An Informati  | on Disclosure Sta   | itement under 37 CFI          | R 1.97 and 1.98.           |  |  |  |  |  |  |  |  |  |
| 12.  |   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is include   |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 13.  | $\boxtimes$   | A Preliminary Amendment.  |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 14.  | $\boxtimes$   | An Application Data Sheet under 37 CFR 1.76.  |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 15.  |   | A substitute specification.   |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 16.  |   | A power of attorney and/or change of address letter.  |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 17.  |   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825   |   |                               |                            |  |  |  |  |  |  |  |  |  |
| 18.  |   | A second co   | py of the publishe  | d international application   | ation under 35 U.S.C. 1    | 54(d)(4).                                  |  |  |  |  |  |  |  |  |
| 19.  |   | A second co   | py of the English   | language translation          | of the international appli | ication under 35 U.S.C. 154(d)(4).         |  |  |  |  |  |  |  |  |
| 20.  | $\boxtimes$   | Other items   | or information: Co  | opy of International S        | earch Report (2 pages)     |  |  |  |  |  |  |  |  |  |

r 31, 8

## JC20 Rec'd PCT/PTO 1 5 JUL 2005

| U.S. APPLICATION N<br>1.5)   |                     |                | _                |   |                                |          | ATTORNEY'S DOCKET NUMBER 065691-0397 |                       |                |                   |  |  |
|--|---------------------|----------------|------------------|---|--------------------------------|----------|--------------------------------------|-----------------------|----------------|-------------------|--|--|
| The following fees have been submitted:  |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
|  | sic natio           | _              | Deen se          | ionnited.   | \$                             | 300      |                                      | \$                    | 300.00         |                   |  |  |
| 22. 🛛 Exa<br>If International  |                     | ary examin     | itisfy           | \$  | 200.00                         |          |                                      |                       |                |                   |  |  |
| provisions of<br>All other situation   |                     | rticle 33(1)-  |                  | 9   | 200.00                         |          |                                      |                       |                |                   |  |  |
| 23. Search fee   |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the  USPTO as an International Searching Authority  \$100  |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
|  |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
| International Se   |                     | eport prepa    |                  |   |                                |          |                                      |                       |                |                   |  |  |
| All other situation  |                     |                |                  | \$  | 500.00                         |          |                                      |                       |                |                   |  |  |
|  |                     | AL OF ABO      |                  | \$  | 1000.00                        |          |                                      |                       |                |                   |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof. |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
| Total Sheets   | Extra sh            | eets Number of |                  | er of each additional 50 or t                             | each additional 50 or fraction |          | RATE                                 |                       |                |                   |  |  |
| 17 - 100 =   | 0                   | /50 =          |                  | 0   |                                |          | 250.00                               | \$                    | 0.00           | , ···             |  |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the \$ 0.00 earliest claimed priority date (37 CFR 1.492(e))  |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
| CLAIMS   |                     | NUMBER         | FILED            | NUMBER EXTRA  |                                | RATE     |                                      | \$                    |                |                   |  |  |
| Total Claim  | ıs                  | 1              | - 20 =           | 0   | x\$                            |          | 50.00                                | \$                    | 0.00           |                   |  |  |
| Independent C  | laims               | 1              | - 3 =            | 0   | x \$                           |          | 200.00                               | \$                    | 0.00           |                   |  |  |
| MULTIPLE DE  | PENDE               | NT CLAIM(      | S) (if app       | olicable)   | +\$                            |          | 360.00                               | \$                    | 0.00           |                   |  |  |
|  |                     |                | ONS =            | \$  | 1000.00                        |          |                                      |                       |                |                   |  |  |
|  | ant claimed by 1/2. | is small ent   | \$               | 500.00  |                                |          |                                      |                       |                |                   |  |  |
|  |                     |                |                  |   | S                              | UBTO     | TAL =                                | \$                    | 500.00         |                   |  |  |
| Processing fee<br>from the earlies   |                     |                |                  | \$  | 0.00                           |          |                                      |                       |                |                   |  |  |
|  |                     |                |                  | TOT   | AL NATIO                       | ONAL     | FEE =                                | \$                    | 500.00         |                   |  |  |
| Fee for recording  | ng the e            | nclosed ass    | signment         | (37 CFR 1.21(h)). The as                                  | ssignmen                       | t musi   | t be                                 | \$                    | 0.00           |                   |  |  |
| accompanied b  | y an ap             | propriate co   | over shee        | et (37 CFR 3.28, 3.31). 40                                | .00 per p                      | <u> </u> |                                      | \$                    | 500.00         |                   |  |  |
|  |                     |                |                  | TOTAL   |                                |          | , OLD -                              | Amount to be          |                |                   |  |  |
|  |                     |                |                  |   |                                |          |                                      | refunded:<br>charged: |                |                   |  |  |
| a. 🛛 A cl  | heck in             | the amou       | nt of <u>\$5</u> | 00.00 to cover the above                                  | re fees is                     | s enc    | losed.                               |                       | Chargeu.       |                   |  |  |
|  |                     |                |                  | Account No. <u>19-0741</u> in et is enclosed.             | the amo                        | unt o    | f                                    |                       | to cover the   | above fees.       |  |  |
| c. 🛛 The   | Comn                | nissioner i    | s hereb          | y authorized to charge<br>count No. <u>19-0741</u> . A di | any ado                        | dition   | al fees v                            | which                 | may be require | ed, or credit any |  |  |
|  |                     |                |                  |   | -                              |          |                                      |                       |                |                   |  |  |
| · info   | rmatio              |                |                  | redit card. WARNING:<br>included on this form.            |                                |          |                                      |                       |                |                   |  |  |
| 2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                          |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
| SEND ALL C   | ORRES               | SPONDEN        | ICE TO           |   | The Manh                       |          |                                      |                       |                |                   |  |  |
|  |                     |                |                  |   |                                |          |                                      |                       | NATURE         |                   |  |  |
|  |                     |                |                  |   |                                |          |                                      | n B. Maebius          |                |                   |  |  |
| Customer Number: 22428 NAME  |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
| 35,264   |                     |                |                  |   |                                |          |                                      |                       |                |                   |  |  |
| <del> </del>   |                     |                |                  |   |                                |          |                                      |                       | RATION NUMBER  |                   |  |  |

10/542406

JC20 Rec'd PCT/PTO 1 5 JUL 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

U.S. National Serial No.:

Filed:

PCT International Application No.:

PCT/FR2004/000085

**VERIFICATION OF A TRANSLATION** 

I, Susan ANTHONY BA, ACIS,

Director of RWS Group Ltd, of Europa House, Marsham Way, Gerrards Cross, Buckinghamshire, England declare:

That the translator responsible for the attached translation is knowledgeable in the French language in which the below identified international application was filed, and that, to the best of RWS Group Ltd knowledge and belief, the English translation of the international application No. PCT/FR2004/000085 is a true and complete translation of the above identified international application as filed.

I hereby declare that all the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application issued thereon.

Date: July 7, 2005

Signature:

For and on behalf of RWS Group Ltd

Post Office Address:

Europa House, Marsham Way,

Gerrards Cross, Buckinghamshire,

England.